



State of California—Health and Human Services Agency
Department of Health Services



CONFIDENTIAL CONFLICT OF INTEREST DISCLOSURE
DHS Human Stem Cell Research Advisory Committee

The purpose of the Human Stem Cell Research (HSCR) Advisory Committee is to recommend to the Department of Health Services (DHS) scientific, medical, ethical and legal guidelines for research involving the derivation or use of human embryonic stem cells in California. It is important these recommendations are not compromised by any real or apparent conflict of interest.

A conflict of interest exists when a Committee member has a financial, professional or personal interest that could be directly affected by a guideline developed by the HSCR Advisory Committee. To protect the Department, the Committee and its members, as well as the public interest, the HSCR Advisory Committee is committed to a robust conflict of interest disclosure policy¹.

Please answer to the best of your knowledge the following questions concerning your potential financial, professional and personal conflicts of interest relevant to the functions of the HSCR Advisory Committee.

Financial Interests

Financial investments include stocks, bonds and other financial instruments and investments including partnerships exceeding \$10,000, but excluding diversified mutual funds. Property interests include real estate and other property interests as well as intellectual property, including patents and copyrights.

1. Do you, your intimate family members, or those with whom you have a substantial common financial interest, have financial investments or property interest that could be directly affected by the guidelines developed by the HSCR Advisory Committee?
2. Could the financial or property interests of your employer, business partners, or clients (or the financial interests of your spouse's employer, business partners or clients) be directly affected by the guidelines developed by the HSCR Advisory Committee?

If the answer to both of the above questions under *Financial Interests* is NO check here _____

If the answer to either of the above questions under *Financial Interests* is YES check here _____

Professional Interests

3. Could the employment or self employment of you or your spouse, including any consulting relationships of you or your spouse, be directly affected by the guidelines developed by the HSCR Advisory Committee?

¹ The conflict of interest disclosure for the DHS HSCR Advisory Committee is closely modeled on the National Academy of Science's disclosure for *Studies Related to Government Regulation* and the California Institute of Regenerative Medicine's disclosure for the *Scientific and Medical Accountability Standards Working Group*.



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4. Could your current research funding or support, including equipment, facilities, industry partnerships, research assistants and other research personnel be directly affected by guidelines developed by the HSCR Advisory Committee?
5. Do you have any existing professional obligations that effectively require you to publicly defend a previously established position on an issue that is relevant to the functions to be performed by the HSCR Advisory Committee?

If the answer to all of the above questions under *Professional Interests* is NO check here _____

If the answer to any of the above questions under *Professional Interests* is YES check here _____

Personal Interests

6. To the best of your knowledge, could your service to the HSCR Advisory Committee enable you to obtain access to a competitor's, or potential competitor's confidential proprietary information?

If the answer to the above question under *Personal Interests* is NO check here _____

If the answer to the above question under *Personal Interests* is YES check here _____

Please describe any YES answers below:

Any changes or additions to the above information during your tenure of service should be promptly reported in writing to the Designated State Officer.

Signature

Date